

Review Article

A Review on Characterizations and Biocompatibility of Functionalized Carbon Nanotubes in Drug Delivery Design

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The revolutionary development of functionalized carbon nanotubes (*f*-CNTs) for applications in nanomedicine has emerged as one of the most interesting fields, which has increased exponentially in recent years. This is due to their appealing physical and chemical properties, as well as their unique architecture. After a brief introduction on the physicochemical properties of carbon nanotubes (CNTs), we described several functionalization methods for the surface modification of CNTs, with the aim to facilitate their solubility in physiological aqueous environment. This review focuses on recent advances in drug delivery design based on *f*-CNTs with an emphasis on the determination of various parameters involved and characterization methods used in order to achieve higher therapeutic efficacy of targeted drug delivery. In particular, we will highlight a variety of different analytical techniques which can be used to characterize the elemental composition, chemical structure, and functional groups introduced onto the CNTs after surface modification. We also review the current progress of available *in vitro* biocompatibility assays based on *f*-CNTs and then discuss their toxicological profile and biodistribution for advanced drug delivery.

1. Introduction

In the past few decades, carbon nanotubes (CNTs) have received tremendous attention from the science community for fundamental research as well as their applications in various fields of study such as catalyst supports [1], hydrogen storage medium [2], field emission displays [3], composite materials [4], sensors and biosensors [5], nanoprobe and, most recently, as a potential drug delivery vehicle for therapeutic agents [6] in nanomedicine. The term “nanomedicine” is a new technology combining the use of both traditional medical technology and nanotechnology where nanosize-engineered materials of less than 100 nm size regime are

employed. This interdisciplinary technology covers a wide range of therapeutic applications, from nanoparticulate drug delivery systems (e.g., carbon nanotubes, layered double hydroxides [7–12]), to *in vitro* (e.g., biosensor) and *in vivo* (e.g., imaging and implantable devices) diagnostics. The intentions of using nanomedicine in drug delivery applications are to achieve (1) improved delivery of water insoluble drugs [13]; (2) delivery of large macromolecule drugs to intracellular sites of action; (3) codelivery of two or more drugs or therapeutic agents for combination treatment; (4) transcytosis of drugs across tight epithelial and endothelial barriers [14]; (5) targeted delivery of drugs in a cell- or tissue-specific manner; (6) real-time read on the *in vivo*

efficacy of a therapeutic agent, and (7) visualization of sites of drug delivery by combining therapeutic agents with imaging probes [15].

Since the discovery of CNTs in the early 1990s [16, 17], the revolutionary development of these nanotubes for the applications of nanomedicine has emerged as one of the most interesting fields, which has increased exponentially in recent years. CNTs are attractive due to their appealing features such as rich electronic and thermal properties, good mechanical strength, extremely great chemical stability, ultralight weight, high aspect ratio, and high surface area [18]. In fact, these innovative carriers exhibit little cytotoxicity, capable of immobilizing therapeutic agents (e.g., drugs, proteins, DNA, antibodies) on the outer wall [19, 20] or by encapsulation inside the nanotubes [21]. Due to their nanoneedle-like structure, they were found to be taken up efficiently by cells [22] and to translocate directly into the cytoplasm of target cells without causing cell death [23].

Basically, CNTs are long, tubular fullerene structures in which the walls of the CNTs comprise of hexagonal carbon and the end tips are pentagonal rings [4]. These nanotubes can be either single-walled (SWCNTs) or multiwalled (MWCNTs), and they are highly ordered, pseudo-one-dimensional carbon allotropes. SWCNTs comprise of a rolled-up single layer of graphite cylinder with a tube diameter of 0.4–2 nm, whereas MWCNTs are multiple concentric cylindrical shells of graphite sheets with interlayer distance of approximately 0.36 nm with diameters of 2–100 nm (Figure 1). Both nanotubes are synthesized by different approaches, such as carbon arc discharge [27], chemical vapour deposition [28], pyrolysis, and laser ablation method [29]. Among these methods, laser ablation is one of the superior ways to produce CNTs with high purity and high quality. Even though there have been major advances in the production of CNTs, they are still relatively costly especially SWCNTs, due to their uses in lower quantity output but higher value technologies.

2. Functionalization of Carbon Nanotubes

There has been significant progress in the research of *f*-CNTs for the advanced delivery of drugs and biomolecules in nanomedicine. Even though CNTs possessed excellent chemical and physical properties, pristine (nonfunctionalized) CNTs usually contain impurities such as catalyst nanoparticles and amorphous carbon. They are intrinsically water-insoluble and cannot disperse uniformly in most aqueous media due to their hydrophobic structure. This has posed a major technical barrier and health concern for biocompatibility evaluation towards drug delivery applications. Therefore, CNTs need to be purified and soluble in physiological environment prior to be used as drug carriers in nanomedicine. There are various methods used to purify CNTs and the most commonly used methods involve oxidative acid treatment, like refluxing/sonication in a concentrated $\text{H}_2\text{SO}_4/\text{HNO}_3$ mixture [30] for the removal of residual metal catalysts. In order to improve their solubility and biocompatibility level, covalent or noncovalent chemical functionalization can be used to obtain soluble conjugates.

2.1. Covalent Functionalization. The covalent functionalization is more advantageous than noncovalent functionalization if a strong bond is required between the nanotubes and the biomolecules. This covalent binding relies very much on the grafting of chemically reactive molecules onto their inert sp^2 carbon structure of the π -conjugated skeleton, which can only be achieved by direct functionalization of pristine CNTs with hydrophilic polymers such as polyethylene glycol (PEG), oligomers, or biomolecules via defect or sidewall functionalization of the CNTs. However, this method can result in a loss of material during the acid oxidation process and a partial loss of optical properties and electronic structure of the CNTs. Generally, these issues are of less importance in the applications of drug delivery [31].

Purification techniques such as acid oxidation modify CNTs surfaces by inducing the opening of the tube caps and formation of holes at the sidewalls resulting in CNTs with tips and sidewalls decorated with oxygenated functionalities (e.g., carboxylic, carbonyl, and hydroxyl groups). Carboxylic acid functionality is the most commonly used surface defect-derived moieties to connect CNTs with amines site on the biomolecules [32]. The carboxylic acids are first activated by crosslinking agents, such as carbodiimides [33], active esters [34], thionyl, or oxalyl chloride [35] to obtain highly reactive intermediates, yielding ester or amide linkages, and subsequently used to covalently attach to various types of biomolecules. Other approaches such as fluorination by elemental fluorine, hydrogenation, radical additions, ozonolysis, electrophilic addition, and 1,3-dipolar cycloaddition of azomethine yields were found to be successful for sidewall covalent functionalization reactions of CNTs.

2.2. Noncovalent Functionalization. Noncovalent functionalization is not destructive to the sp^2 bonding compared to covalent functionalization and, therefore, preserves the functional properties and native structure of CNTs more effectively than covalent methods. The functionalization mechanisms for the noncovalent dispersion of CNTs are often straightforward with the use of sonication, mixing, mixing followed by sonication, centrifugation, or filtration. Nevertheless, the chemical interactions of noncovalent approaches may still incur significant surface doping effects in the nanotubes due to the electronic transitions [36]. Besides, noncovalent bond is susceptible to environmental factors, like salt concentration and pH and is generally less stable [37].

Pristine CNTs are very difficult to disperse in solution due to the formation of big bundles held strongly together by the van der Waals forces. In order to separate the nanotubes from self-aggregation, various dispersion agents such as ionic-complementary peptides [38], biomolecules [39], surfactants [40], pyrene-containing polymers [41], and hyaluronic acid [42] as well as other natural polymers like gum Arabic, amylose, and Suwannee River natural organic matter [43] are used in order to achieve the desired dispersion effect.

An in-depth study on the surface modifications by covalent and noncovalent method for the effective dispersion of CNTs is extensively reviewed by Kim et al. [44]. Not only have they provided useful guidelines for the preparation of effective dispersions of CNTs in solvents and polymers,

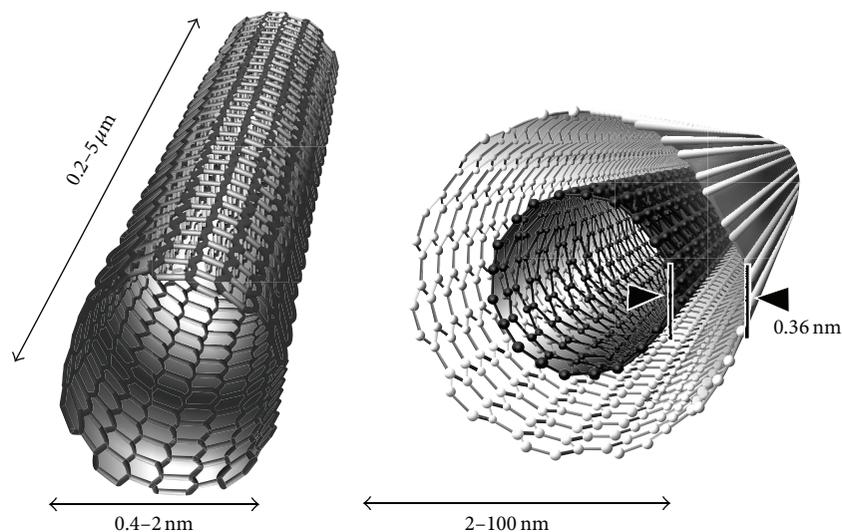


FIGURE 1: Carbon nanotubes: single-walled carbon nanotubes (SWCNTs) on the left; and multiwalled carbon nanotubes (MWCNTs) on the right. Adapted from [24, 25]. This research was originally published in [26] © by the Society of Nuclear Medicine and Molecular Imaging, Inc.

but also they have highlighted several issues concerning the quantitative characterization of surface modifications and effective dispersions of CNTs. In view of the vast number of papers published on the context of chemical functionalization and surface modifications of CNTs, we attempt to highlight only the recent development of *f*-CNTs conjugated with bioactive agents carried out from the year of 2010 up till date. Table 1 summarizes the development of both covalent and noncovalent functionalization of CNTs for delivery of drugs, biomolecules, and/or imaging agents in nanomedicine.

3. Design of Carbon Nanotube-Mediated Delivery Systems in Therapeutics

Prior to designing a novel delivery system, there are several critical parameters which need to be taken into consideration for the preparation of a functional targeted drug delivery and this will be discussed in the section below.

3.1. Determination of Parameters in a Delivery Mechanism

3.1.1. Physical Form. For an effective delivery, the administered delivery system must be adsorbed and distributed, reach the targeted site, and then react with the desired tissue and exert a response. The uptake and biodistribution of a delivery system is heavily dependent upon its physical properties such as length, diameter, shape, size, and aggregation [68].

In the case of CNTs, if the length of the tubes is more than $15\ \mu\text{m}$, which is the diameter of a phagocytic cell, it becomes difficult for the macrophages to phagocytose it [69]. As a result, inflammation occurs which may lead to fibrosis. There are a few studies which indicated that shorter CNTs ($0.1\text{--}0.2\ \mu\text{m}$ in length) were easily phagocytosed and excreted by the kidney [70], whereas longer CNTs ($15\text{--}30\ \mu\text{m}$) were not found in macrophages and resulted in the triggered release of inflammatory mediators [69]. Besides, CNTs with differing

sizes ($<8\ \text{nm}$, $20\text{--}30\ \text{nm}$, $>50\ \text{nm}$; but of the same length $0.5\text{--}2\ \mu\text{m}$) had different effects and characteristics in cellular uptake, cell viability, and intracellular responses as well as potential mechanisms of toxicity, depending on the exposed cell type [71]. Hence, one can easily tailor the properties of the CNTs by specifically choosing the desired features (length, diameter, shape, and size) to suit different drug delivery applications.

3.1.2. Surface Chemistry. Surface modification of pristine CNTs by either covalent or noncovalent surface functionalization will increase the solubility of CNTs and, hence, renders them more biocompatible in physiological aqueous environment. This is because the delivery system is administered into the human body and highly hydrophobic pristine CNTs may cause aggregation which further leads to characteristic cell changes and death (apoptosis) [31].

In line with this, several groups of researchers carried out *in vitro* experiments using various types of *f*-CNTs with different surface charges (positive, negative, or neutral) [22, 72]. They demonstrated that cells did not exhibit apoptosis/necrosis and *f*-CNTs were intracellularly taken up by cells as evident by techniques such as confocal microscopy, fluorescence-activated cell sorting, and protocols. Thus, it is expected that the cytotoxicity of cells is decreased with the increase in the degree of functionalization of the CNTs. Moreover, the aggregation of individual tubes which are held strongly together by van der Waals forces is also significantly reduced by the surface functionalization.

In addition to that, there were also reports which indicated that the size of the functional group (molecular weight $> 60\ \text{kDa}$) may cause toxicity in cells [73, 74]. Furthermore, the medium-sized ($<80\ \text{kDa}$) protein-CNT conjugates was observed to be efficiently taken up by cells, whereas the intracellular transport of large conjugates yields lower levels of uptake.

TABLE I: Some of the recent development with covalent and noncovalent functionalization of CNTs for *in vitro* drug delivery applications.

| Carrier | Surface modifications | Drugs/biomolecules/imaging agents | Type of bonding between CNTs and cargo | Cell lines | Remarks | Reference |
|----------------|--|--|--|---|--|-----------|
| SWCNTs, MWCNTs | Acid oxidation | Soybean peroxidase | Covalent | Nontumorigenic human bronchial epithelial cells (BEAS-2B) | Acid oxidation increased the number of functional groups and improved CNTs biocompatibility in aqueous environments. | [30] |
| MWCNTs | Acid oxidation followed by acylation and subsequently grafted with polyamidoamine (PAMAM) dendrimers | Cadmium sulfide and silver sulfide quantum dots (QDs) as fluorescence labelling probes | Covalent | Gram-positive bacterium <i>S. aureus</i> and Gram-negative bacteria <i>E. coli</i> and <i>P. aeruginosa</i> | The <i>f</i> -MWCNTs-QDs nanohybrids are strong antimicrobial agents against Gram-negative bacteria compared to Gram-positive bacteria. | [46] |
| MWCNTs | Refluxed in acid nitric followed by thionyl chloride and then functionalized with PEG diamine | Doxorubicin (DOX) and fluorescein isothiocyanate (FITC) as fluorescence labelling probes | Covalent | Human adenocarcinoma cells (HeLa), human hepatocellular carcinoma cells (HepG2) and human leukemia cells (K562) | The PEGylated MWCNTs penetrated into mammalian cells without damage plasma membrane and its accumulation did not affect cell proliferation as well as cell cycle distribution. It was found to accumulate in the multidrug-resistant cancer cells as efficient as in the sensitive cancer cells. | [47] |
| SWCNTs | Acid oxidation | None | Covalent | Mouse fibroblast cells (NIH3T3) | The <i>f</i> -SWCNTs were observed mostly accumulated in the cytoplasm, particularly near the mitochondria and in the Golgi bodies and cytoplasmic vacuoles of the <i>f</i> -SWCNTs treated cells. | [48] |
| MWCNTs | Oxidized in concentrated sulfuric acid/nitric acid mixture and further functionalized with cationic polymer polyethylenimine (PEI) | Paclitaxel (PTX), folic acid (FA) as targeting ligand and QDs | Noncovalent/covalent | HeLa cells and human umbilical vein endothelial cells (HUVEC) | The <i>f</i> -MWCNTs-PTX enhanced cytotoxicity capability significantly and exhibited high targeting ability with good aqueous solubility and biocompatibility. | [49] |
| SWCNTs | Encapsulated with chitosan (CHI) | DOX and FA | Noncovalent | Hepatocellular carcinoma cells (SMMC-7721) | The obtained <i>f</i> -DOX-FA-CHI-SWCNTs demonstrated high therapeutic payloads and thus, it can kill the cancer cells effectively by releasing DOX at the reduced pH environment. | [50] |

TABLE 1: Continued.

| Carrier | Surface modifications | Drugs/biomolecules/imaging agents | Type of bonding between CNTs and cargo | Cell lines | Remarks | Reference |
|---------|--|--|--|--|---|-----------|
| SWCNTs | Functionalized with carboxylic acid followed by encapsulation with FA-conjugated CHI | DOX | Noncovalent | None | The conjugate demonstrated good stability in aqueous medium due to the encapsulation of CHI and exhibited the characteristics of both targeted and controlled release functions. | [45] |
| SWCNTs | Oxidized in oleum and nitric acid and subsequently functionalized with methoxy (PEG) amine | PTX | Non-covalent | | It was found that the conjugate was not acutely toxic, primarily accumulated in the liver and spleen and proved to be stable and effective both <i>in vitro</i> and <i>in vivo</i> . | [51] |
| MWCNTs | Purified using sulfuric acid/nitric acid mixture and further functionalized with polycytric acid-polyethylene glycol-polycytric acid (PCA-PEG-PCA) linear-dendritic copolymers | Cisplatin (<i>cis</i> -diamminedichloroplatinum) (CDDP) | Noncovalent | Murine colon adenocarcinoma tumor cancer cells (C26) | The synthesized conjugate was able to be introduced into the colon cancer cells and kill the cells effectively. | [52] |
| MWCNTs | Oxidized in concentrated sulfuric acid and nitric acid, followed by conjugation with iron nanoparticles | DOX and FA | Covalent | HeLa cells | The DOX/FA-MWCNT@Fe had a sufficient load capacity (32 $\mu\text{g}/\text{mg}$) and a prolonged release property assisted by near infrared radiation. It also demonstrated both biologically (active) and magnetically (passive) targeting capabilities toward HeLa cells <i>in vitro</i> with ca. 6-fold higher delivery efficiency of DOX than free DOX. | [53] |
| MWCNTs | Oxidized in nitric acid and sulfuric acid mixture and subsequently functionalized by 1,2-distearoyl- <i>sn</i> -glycero-3-phosphoethanolamine- <i>N</i> -[methoxy(polyethylene glycol)-2000] (DSPE-PEG2000) and 1,2-distearoyl- <i>sn</i> -glycero-3-phosphoethanolamine- <i>N</i> -[maleimide(polyethylene glycol)-2000] (DSPE-PEG2000-MAL) | DOX and angioprep-2 as targeting ligand | Noncovalent | Brain capillary endothelial cells (BCEC) and glioma cells (C6) | The conjugate showed a better anti-glioma effect and a lower cardiac toxicity compared to DOX. | [54] |

TABLE I: Continued.

| Carrier | Surface modifications | Drugs/biomolecules/imaging agents | Type of bonding between CNTs and cargo | Cell lines | Remarks | Reference |
|-------------------------------|--|--|--|---|---|-----------|
| MWCNTs | Carboxylation, acylation and followed by amidation process to obtain amine terminated MWCNTs | DOX and targeting moiety D- α -tocopheryl PEG-1000 succinate (vitamin E TPGS) | Noncovalent | Human breast cancer cells (MCF-7) | The formulation demonstrated enhanced cytotoxicity and mostly taken up by the cancer cells via endocytosis mechanism. It has longer survival span (44 days) compared to untargeted formulation (23 days) and free DOX (18 days). | [55] |
| Partially carboxylated MWCNTs | Acid oxidation in nitric acid 70% | Liposomes without PEG-DSPE or drug | Covalent | Human fibroblast adherent cells (HEK 293) | The system can deliver a large amount of drug into cells and further preventing potential cytotoxicity effects of CNTs when administered at high dosage. | [56] |
| SWCNTs | Acid oxidation, acylation and thioamidation process | Azithromycin (AZ) | Covalent | <i>Micrococcus luteus</i> | <i>In vitro</i> antibacterial assay of the conjugate confirmed the release of AZ. | [34] |
| MWCNTs | Acid oxidation in nitric acid and sulfuric acid mixture | CHI, bovine serum albumin (BSA) and FITC | Noncovalent | HeLa cells | The conjugate obtained good stability and dispersity in aqueous solution over 30 days. It is biocompatible with HeLa cells in which the cell viability is 81% after incubation with concentration $100 \mu\text{g mL}^{-1}$ for 24 h incubation. BSA immobilization efficiency was found to be improved by 0.8 times and cellular toxicity was decreased by ~50% compared with carboxylated MWCNTs. The results showed that the MWCNTs-PLL exhibited good dispersion in water and was found to be pH-dependent. | [57] |
| MWCNTs | Acid purification in HCl solution | Poly-L-lysine (PLL) | Noncovalent | None | The system demonstrated enhanced cytotoxicity toward U87 cells compared with free DOX. It was taken up by U87 cells with subsequent intracellular release of DOX, followed by transport of DOX into the nucleus leaving the nanocarrier in the cytoplasm. | [58] |
| MWCNTs | Poly(acrylic acid) was grafted on MWCNTs through free radical polymerization | DOX, FA and iron oxide magnetic nanoparticles | Noncovalent | Human glioblastoma cells (U87) | | [59] |

TABLE 1: Continued.

| Carrier | Surface modifications | Drugs/biomolecules/imaging agents | Type of bonding between CNTs and cargo | Cell lines | Remarks | Reference |
|--------------------|---|---|--|--|---|-----------|
| MWCNTs | Functionalization with magnetic poly(acrylic acid) | Gemcitabine (GEM) | Noncovalent | Human pancreatic cancer cells (BxPC-3 and SW1990) | The formulation had high anti-tumour activity <i>in vitro</i> compared to free GEM. | [60] |
| SWCNTs | Acid oxidation in nitric acid and sulfuric acid mixture | None | Covalent | Primary human umbilical vein endothelial cells (HUVE) | The <i>f</i> -SWCNTs had limited toxicity for HUVE cells <i>in vitro</i> and therefore, it could be used as a potential nanocarrier of antiangiogenic drugs for targeting the vasculature. | [61] |
| SWCNTs, SWCNT-COOH | None | Phospholipids (PL) were covalently conjugated to hyaluronan (HA) via amine-coupling chemistry to obtain PL-HA conjugate | Noncovalent | Murine macrophages (RAW 264.7) and the epithelial colon adenocarcinoma cells (HCT 116) | The findings showed that the CNTs-PL-HA internalized into macrophages and exhibited low cytotoxicity. Furthermore, it did not induce pro-inflammatory cytokines or mitochondrial toxicity with leukocytes in contrast to non-modified CNTs. | [62] |
| MWCNTs | Acid oxidation in nitric acid and sulfuric acid mixture | Carvedilol (CAR) and PAMAM dendrimers | Covalent | None | PAMAM-MWCNTs enhanced the drug-loading capacity as well as drug dissolution significantly and, hence, it could be developed as potential nanocarrier for poorly water-soluble drug. | [63] |
| SWCNTs | Functionalization with Sgc8c aptamer (targeting agent) | Daunorubicin (Dau) | Noncovalent | Human T lymphoblast cells (Molt-4) and human myeloma cells (U266) | This tertiary complex was found to be pH-dependent with controlled release function and able to selectively deliver Dau to target Molt-4 cells. | [64] |
| SWCNTs | Functionalization with FA | None | Noncovalent | Human monocytic cells (THP-1) | The conjugate had low toxicity, good water solubility and was internalized by THP-1 cells. | [65] |

3.1.3. Degree of Purification. During the fabrication of CNTs, organic materials such as amorphous carbon, extrinsic defects like catalyst residue (Fe, Ni, and Co), or supporting materials (typically silica, alumina, or magnesium oxide) embedded in the nanotubes could be harmful to biomedical applications. These transition metals can interact and catalyze oxidative species in cells through free radical generation, causing oxidative stress and morphological changes to the cellular structures [75].

In order to identify the issue related with impurities within the CNTs, a group of researchers have conducted a systemic study of immunological responses in mice by using iron-contaminated and extremely pure MWCNTs [76]. They found that the as-grown nanotubes (contaminated MWCNTs) subcutaneously implanted in the mice give rise to acute toxicity resulting in severe hair loss and inflammation, whereas the extremely clean nanotubes demonstrated good biocompatibility. In a related context, neurological impacts of MWCNTs with different concentrations of iron impurities (3% and 23%) were investigated on rat pheochromocytoma cell line [77]. The authors observed that the highest content of iron in the CNTs can increase cytoskeletal disruption, reduce cells viability, and diminish the ability to form mature neurites in the neural cells. Therefore, it is very crucial to purify the CNTs prior to use in biomedical research for drug delivery therapy as the impurities strapped inside the CNTs may be the main cause of toxicity.

3.1.4. Dose- and Time-Dependent Exposure. It is generally believed that the CNTs nanocarriers are dose- and time-dependent in many *in vitro* [55, 61, 70] experiments carried out in the past years. This wide range of values rely heavily on the types of CNTs used, experimental methods of functionalization and the bioactive compound adopted in the conjugation process. An extensive compilation of cell viability studies on different types and concentrations of *f*-CNTs is listed elsewhere in the literature [74] and only a few representative results will be discussed here.

A group of researchers performed an *in vitro* cytotoxicity evaluation of carboxylated SWCNTs on differentiated (cultured and grown for 21 days) and nondifferentiated (maintained as monolayer model) Caco-2 cells, a human intestinal carcinoma cell line [78]. Caco-2 cells were differentiated under specific condition in order to compare the sensitivity of the cells to *f*-SWCNTs. A concentration ranging from 5 to 1000 $\mu\text{g}/\text{mL}$ was used being that the gastrointestinal tract is also one of the possible routes of CNTs exposure besides dermal, inhalation, and injection for direct interactions with nanomaterials. The results showed a dose-dependent trend and toxic effects were found to be induced in both differentiated and nondifferentiated Caco cells at concentrations higher than 100 $\mu\text{g}/\text{mL}$ with differentiated cultures showing a higher sensitivity.

On the other hand, Patlolla et al. investigated the cytotoxicity of oxidized MWCNTs in normal human dermal fibroblast cells, which would be among the first exposed cell types that these engineered nanomaterials can enter the human vascular system through open wounds [79]. The cell viability and proliferation assay revealed that the CNTs is dose

and time-dependent with inhibitory response induced at the highest dose of MWCNTs (400 $\mu\text{g}/\text{mL}$) (equals 10 ng/cell), causing a loss of >70% of the cells within 4 days. Therefore based on this finding, amount below 40 $\mu\text{g}/\text{mL}$ (or 1 ng/cell) of oxidized MWCNTs could be used in CNT-mediated drug delivery for nanomedicine.

3.2. Determination of Characterization Methods. There are a number of useful techniques which could be employed to characterize the physicochemical structure and morphological properties of the drug-loaded *f*-CNTs. The detail usage of these characterizations in drug delivery will be discussed in the subsequent sections below. In order to obtain an accurate characterization of *f*-CNTs, all these techniques described here cannot be used on their own but must be used in complementary ways.

3.2.1. Vibrational Spectroscopies: FTIR, Near-IR, and Raman.

Infrared (IR) spectroscopy is commonly used to gather information about the structure of unknown compounds or impurities remaining from chemical synthesis or molecules functionalized on the surface of the nanotubes. In the case of CNT-mediated drug delivery system, Fourier transform infrared spectroscopy (FTIR) is an appropriate technique to identify and confirm the chemical interaction between the CNTs and drugs through detailed investigation [80]. Moreover, it exhibits all the modification of the nanotubes structure and reveals the nature of constituents added to the nanotubes by studying the characteristic absorption bands. For example, Huang et al. characterized the synthesized product, doxorubicin-loaded SWCNT-chitosan-folate nanocarrier chemically modified by carboxylic group [45]. By extensively studying the FTIR spectra, the authors were able to confirm the presence of $-\text{COOH}$ group on the oxidized CNTs, and the characterization of drug-loaded SWCNTs conjugate (Figure 2). Figure 2(a) is the FTIR spectra of pristine SWCNT and the absorption peak at 1540 cm^{-1} corresponding to the residue on the surface. The characteristic absorption peaks are observed at 1643 cm^{-1} and 3609 cm^{-1} in Figure 2(b), confirming the presence of carboxylic acid functionalized to the SWCNT. The multiple peaks derived from Figure 2(c) are assigned to the different ketone and quinone carbonyls of the pure DOX. Figure 2(d) confirmed the incorporation of DOX to SWCNT with absorption bands observed at 817 cm^{-1} and 1115 cm^{-1} (assigned to the vibration bands of $\text{C}-\text{O}-\text{CH}_3$ from DOX) and at 762 cm^{-1} and 874 cm^{-1} (assigned to the $\text{N}-\text{H}$ deformation bonds and primary amine NH_2 from DOX). The characteristic absorption bands for DOX-loaded SWCNT encapsulated with chitosan-folic acid conjugate are observed in Figure 2(e), confirming the successful conjugation of DOX-loaded SWCNT encapsulated with chitosan-folic acid conjugate. Several groups also used the assigned characteristic FTIR absorption bands for the characterization of *f*-CNTs conjugated with various drugs and biomolecules [34, 46, 54, 55, 58, 63, 81].

On the other hand, spectrofluorometer coupled with fluorescence microscope modified for near-IR (NIR) imaging can be used to investigate the cellular internalization of

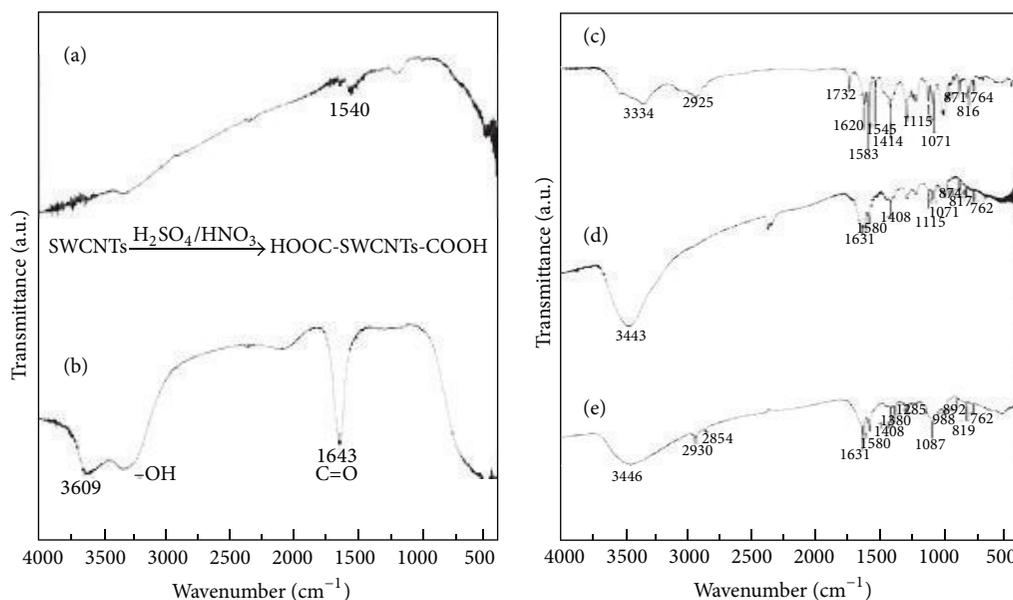


FIGURE 2: Fourier transform infrared (FTIR) spectra of (a) as-received SWCNT; (b) SWCNT functionalized with carboxylic acid; (c) DOX; (d) DOX-loaded SWCNT, and (e) DOX-loaded SWCNT encapsulated with chitosan-folic acid conjugate, respectively. Reproduced with permission from [45].

biocompatible *f*-CNTs in biological media [6]. The optical features of CNTs can be used to detect the conjugated biomolecules because they emitted fluorescence which could be observed in the NIR spectral region which covers from 900 to 1600 nm [82]. In addition, the CNT photoluminescence can be detected down to atomic level and it appears to be more photostable than quantum dots and organic dyes. Natural biomolecules and human tissues are relatively transparent and nonemissive in NIR light and, thus, this allows the light to penetrate deep into several centimeters thick in biological tissue. Furthermore, background cellular fluorescence is low in the NIR range, while most traditional fluorophores have almost the same emission signal as the tissue and biological media in the visible range (800–1400 nm). In addition, the band gap fluorescence emission is especially sensitive to surface defects on the *f*-CNTs, producing strong visible fluorescence signals upon the chemical functional groups, and the fluorescence energy is excitation wavelengths-dependent [83]. Therefore, the band gap fluorescence of *f*-CNTs is widely employed as a NIR imaging tool for biological systems.

Raman scattering measurement is another important nondestructive technique used to evaluate the quality (e.g., purity and defect density) of SWCNTs and to assess their functionalization. It has been widely used for the characterization of CNTs to obtain useful information about the changes in band width, intensities, and frequency of the radial breathing mode (RBM), disordered mode (D-band), and tangential mode (G-band) [66]. The presence of the functional groups conjugated to the side walls of SWCNTs will result in a shift in the intensity of the RBM and G- and D-band [67]. Typically, a shift in peak is indicating an interaction between the SWCNTs and the dispersing agents or functional moieties. Since the CNTs have the added advantage of having

an inherent Raman effect, Raman spectroscopy has been used to evaluate the purity of SWCNTs by comparing the G-band intensity and the G/D ratio of the samples [66], to distinguish between carbon-based nanotubes [51], to characterize the structure of SWCNTs before and after functionalization [84], and to study the extent of covalently functionalized SWCNTs in comparison to the noncovalently functionalized SWCNTs [85]. Generally, the characteristic Raman features of CNTs can be summarized in Table 2.

3.2.2. Thermal Gravimetry. Thermogravimetric analysis (TGA) is used to quantitatively determine the maximum temperature limit of use for a sample as well as a measurement for the determination of various functional groups attached to the surface of CNTs [86]. For example, carboxylic functional groups present at the defect site of CNTs are expected to decompose in temperature between 200 and 600°C as water and CO₂ [87]. It has been known that CNTs can be heated up to 700°C under nitrogen atmosphere and that any changes in weight loss can be used to estimate the extent of CNTs functionalization [88]. Besides, Yudianti et al. [89] observed that side wall acid functionalization can cause a reduction in thermal stability (from 613.5°C in purified MWCNTs to 570°C in *f*-MWCNTs) mainly due to the breakdown of carbon double bond. Moreover, the metal impurities in the CNTs can also have a great impact on the thermal stability due to the catalyzation process of carbon oxidation [90]. Therefore, it is impossible to differentiate CNTs from metallic impurities because it is a nonselective method and, thus, it is used in complementary with other techniques. Alternatively, thermal analysis data can be presented as a maximum in the derivative weight curve known as derivative TGA (DTG) curve or onset temperature [89].

TABLE 2: The characteristic features of single-walled carbon nanotubes characterized by Raman spectroscopy. Adapted from [66, 67].

| Characteristic features | Wavenumbers (cm ⁻¹) | Comments |
|---------------------------------------|---------------------------------|---|
| Radial breathing mode (RBM) | 100–300 | Radial movement of the nanotubes (A _{1g}). When resonating conditions are met, only the Raman spectra of single-walled carbon nanotubes exhibit the RBM peak. |
| Disorder-induced mode (D-band) | 1300–1400 | Characteristic to nongraphitic materials due to structural defects in the sp ³ carbon atoms containing carbonaceous impurities, which is correlated with the extent of side wall chemical functionalization. |
| Tangential displacement mode (G-band) | 1500–1600 | Characteristic of carbon nanotubes, corresponding to a splitting of the E _{2g} stretching mode of graphite, could be superimposed with the G-band of residual graphite. |
| Second order | 2450–2650 | First overtone of the D band, often called G' band. This second order peak is sensitive to the charge exchanged between CNTs and the guest moiety. |

3.2.3. *Absorption Spectroscopy Using UV-Vis.* The binding of drug or bioactive molecules to the *f*-CNTs can be detected using a UltraViolet-visible (UV-Vis) spectrophotometer [85, 91, 92]. For example, the real time drug release from the *f*-CNTs can be measured by comparing the absorbance value of the supernatant (after removal of *f*-CNTs in the solution) with the pure drug solution [92]. There is a significant difference in the drug binding and release efficiency based on different diameter of CNTs used in which smaller diameter nanotubes showed a faster release rate compared to larger diameter nanotubes [93]. This difference may be due to stronger π -stacking of biomolecules being absorbed onto larger surface of the tubes with flatter graphitic sidewalls. The drug release mechanism (diffusion mode) of the drug-loaded CNTs can also be altered by changing the surface functional groups of CNTs. This is because the interaction forces of the *f*-CNTs and drugs are based on hydrogen bonds and the strength of the bonds between –OH and –COOH groups is generally pH-dependent [92].

3.2.4. *NMR.* Nuclear magnetic resonance (NMR) spectroscopy has been employed to investigate the synthesis and attachment of functional groups to CNTs and the most commonly used are solid state ¹³C NMR. Solution state ¹H NMR is limited for the characterization of SWCNTs due to slow tumbling and low solubility of the SWCNTs results in broad spectra [94]. In addition to this, information like covalent functionalization cannot be obtained from ¹H NMR. Therefore, ¹³C NMR has been used to characterize *f*-CNTs by studying the characteristic peaks in the magnetic environment. Several groups have conducted

in-depth studies on the characterization of pristine CNTs and *f*-CNTs using solid state ¹³C NMR spectroscopy. They have reported the ¹³C spin-lattice relaxation behaviour of *f*-SWCNTs [95] and SWCNTs [96] as well as *f*-MWCNTs [97] and MWCNTs [98]. The *f*-CNTs are characterized by broad bands for protons which are in close proximity to the CNTs and the bands becoming sharper with distance. Recently, the use of two-dimensional (2D) NMR techniques has gained much attention since they can map out three-dimensional (3D) interactions between or within molecules more efficiently [99]. This noninvasive technology has been popularly known as “NMR chromatography” due to its ability to isolate the compounds in a complicated mixture [100]. There have been numerous studies reported on the characterization of various bioconjugates using 2D NMR spectroscopy [100, 101].

3.2.5. *Imaging Microscopies: SEM, TEM, STEM, AFM, and STM.* Scanning electron microscopy (SEM) is a type of electron microscope and it is primarily used for the evaluation of morphology and suggesting the nature of material quality. SEM can be considered as the most generally used technique when it comes to the evaluation of *f*-CNTs [30, 34, 63]. Cheng et al. [47] had used SEM to confirm the purity of their synthesized nanotubes after acid purification by capturing a clear and without obvious amorphous carbon contamination image. The SEM images are a good source of information where details like CNTs diameters as well as the development of drug modified *f*-CNTs [63] can be obtained. When coupled with an energy dispersive X-ray analysis detector (SEM-EDX), catalyst impurity or different chemical elements in the CNTs structure can be detected [65]. Nonetheless, SEM is probably the only technique that can provide information on both morphology and the impurity content of CNTs.

Transmission electron microscopy (TEM) is a valuable tool used to characterize the microstructure of samples with very high spatial resolution. TEM provides qualitative information on the morphology (size and shape), structures and defects [102], impurities or contamination and functionalized molecules in a CNT sample [53, 55, 81, 103]. Unlike SEM, it is unable to identify the presence of metallic content in CNTs. TEM has been widely used to image cellular uptake of drug-loaded *f*-CNT and to monitor the CNT conjugates in the cell after cellular uptake [51]. Higher resolution images from TEM are used mostly to monitor the surface defects of individual nanotube wall and to distinguish between SWCNTs and MWCNTs [104].

Z-contrast scanning transmission electron microscopy (STEM) is one of the powerful tools for imaging individual heavy atoms in materials science applications and, most recently, this technique is used for imaging the distribution of platinum-based drug molecules attached to SWCNTs [105, 106]. STEM operates similarly as the normal SEM, by using a focused beam of electrons that scanned across a thin sample while some desired signal is then collected by a detector to form image at the atomic level [107]. It is an ideal technique for quantifying image intensities and determining the

number of heavy metal-based drugs attached to nanotubes or other low-Z nanocarriers [105, 106].

Atomic force microscopy (AFM) is another option used to study the surface morphology and particle size parameters of CNTs [108]. Typically, AFM images provide useful information like the length and diameter distribution of the *f*-CNTs [52] as well as monitoring interactions between biological species such as blood proteins and CNTs [109]. The investigation between proteins and CNTs is important especially in biomedical applications because our blood circulation system will most likely be the first exposure to these nanoparticles. As such, Ge and coworkers [109] employed AFM in order to study proteins binding to the surface of SWCNTs. Based on the AFM images, they concluded that different proteins have different binding capabilities on SWCNTs surface. This selectivity of proteins can potentially affect the cellular responses (uptake, clearance, distribution, and delivery to the targeted sites) and, hence, result in different cytotoxicity.

The advantage of using scanning tunnelling microscopy (STM) to characterize carbon nanotubes is the generation of a 3D map of the nanotubes surface morphology which is consistent with the images derived from SEM [110]. In fact, the tunnelling electron effect enables simultaneously both the analysis of the CNTs at the atomic level as well as the electronic density of state. In order to use this technique, CNTs must be deposited on flat substrates coated with a thin layer of noble metal (mainly sapphire or quartz) [111] or highly oriented pyrolytic graphite (HOPG) [112]. This is to enable the electrons to flow to/from a STM tip. The STM images of CNTs can be very much depending on the curvature radius of the tip, CNTs diameter, and the different chiralities exhibited by the CNTs itself [113]. Consequently, the attachment of functional groups to the surface of CNTs and the mechanical stability of the bonding can also be analyzed using STM [112].

3.2.6. XPS. In the case of *f*-CNTs, X-ray photoelectron spectroscopy (XPS) can be used to analyze the surface chemical composition of the nanotubes. XPS is widely adopted for the studies of structure modification of the nanotubes side walls which is due to the chemical interaction with functionalized nanoparticles [114, 115]. Zhang et al. have studied the bonding between MWCNTs and functional groups by XPS [81]. They confirmed the presence of oxygen atom peak with a binding energy 532.5 eV on the surface of both MWCNTs-COOH and MWCNTs-PEG whereas pristine MWCNTs only recorded the presence of carbon. XPS analysis was also performed by Ciobotaru et al. on the covalent functionalization of DOX drug on the surface of SWCNTs through bonds formed between carboxyl moieties (SWCNTs) and amino groups (DOX) [116]. The chemical composition on the surface of purified SWCNTs, oxidized SWCNTs, and DOX *f*-SWCNTs nanocomposite has been investigated. For both purified and oxidized SWCNTs, the intensity peak of O1s increases after oxidation. From XPS spectrum of DOX functionalized SWCNTs, it can be observed that the intensity peak of N1s presented in DOX structure. The deconvolution of C1s peak

of the DOX *f*-SWCNTs nanocomposite presents different shape due to the surface modification by transforming O=C=O bonds into N-C=O bonds. By studying the composition of the elements on the surface of both PAMAM-MWCNTs nanocarrier and drug loaded PAMAM-MWCNTs, Zheng et al. observed a clear chemical shift in the N1s peak of the drug loaded PAMAM-MWCNTs towards the lower binding energy region (from 399.91 to 396.42) [63]. The shift was due to the functionalization of the MWCNTs indicating a successful attachment of the PAMAM-MWCNTs with the drug itself.

3.2.7. XRD. This nondestructive X-ray diffraction (XRD) method is generally used to obtain information on the interlayer spacing (d_{hkl}), phase purity, and the structural strain of a compound. In the case of CNTs, multiple layers for MWCNTs, chiralities distribution, and diameters are also observed in the XRD pattern. There are two main characteristics that can be studied in the XRD pattern of most CNTs: (i) presence of a graphite-like peak ($0\ 0\ 2\ l$) and its interlayer spacing can be measured using Bragg's and (ii) a family of ($h\ k\ 0$) peaks caused by the honeycomb lattice of single graphene sheet. Due to their unique intrinsic property, many studies have been conducted on the characterization of different *f*-CNTs using this method. For example, Jain et al. synthesized a novel cascade of *f*-MWCNTs which involved sequential steps of chemical modification via purification, carboxylation, acylation, amine modification, and galactose conjugation [117]. By studying the XRD spectra, the authors reported that the different functionalization process of MWCNTs did not change the cylindrical wall structure and remain similar to that of raw-purified MWCNTs.

In another study conducted by Mi et al. on the synthesis of polypyrrole/CNT (PPy/CNT) composites using methyl orange-iron (III) chloride-functionalized CNTs (CNT/MO-FeCl₃), they were able to prove that the organometallic-functionalized CNTs were successfully developed via a novel microwave hydrothermal route by using XRD and other characterization methods [118]. The XRD patterns show several Bragg diffraction peaks (11.8°, 17.6°, 20°, 26.4°, 28.6°, 35.2°, 39.3°, 46.5°, and 55.9°) which are related to the crystalline structure of CNT/MO-FeCl₃ composite. In comparison with CNT/MO-FeCl₃ composite, a low intense broad peak was observed at 12.3–25.2° for PPy/CNT composite, suggesting that the organometallic-functionalized CNTs had an amorphous structure. The XRD result demonstrated that, after the polymerization of pyrrole, the MO-FeCl₃ complex was removed from the CNTs and replaced with PPy, resulting in the final product of PPy/CNT composite. Other types of functional moieties such as folate (serves as targeting moiety) and iron (acts as magnetic probe), which are commonly used in the application of CNT-based drug delivery system, can also be examined using XRD method [53].

3.2.8. Surface Area Analysis. The adsorption property (physisorption and chemisorption), specific surface area (S_{BET}) and porosity (type of pore, pore size, pore volume, and pore distribution) of *f*-CNTs can be obtained using

nitrogen [119], argon, and water adsorption-desorption measurements at different temperatures. This technique is widely used in various fields of study such as water treatment for heavy metal ions removal [119, 120], gas separation and recovery [121], hydrogen storage [122], and adsorption for anionic and cationic dyes [123]. In order to interpret the adsorption isotherms, Brunauer-Emmett-Teller (BET) method is used to calculate the surface areas [124] while t -plot [125] is used to determine the total pore volume and pore diameters. In a recent work carried out by Marques et al. on the study of oxygen functionalities generated on the surface of SWCNTs, the surface area (S_{BET}) of the pristine and acid nitric treated SWCNTs was determined using N_2 adsorption-desorption isotherms performed at 77 K [126]. They observed that the adsorption capacity of SWCNTs decreased with the increase of surface functional groups and structural defects present on the nanotubes. In another study conducted by Chigumbu et al. on the effects of using strong acids at different oxidation temperature for the preparation of drug loaded MWCNTs, they observed that the surface area increased as the temperature increased [127]. The authors attributed this to the fact that as the temperature of oxidation increased length and agglomeration (number of tubes in a bundle) of CNTs will reduce and, hence, the surface area is known to increase.

4. Review on Bioassays Using Functionalized Carbon Nanotubes

Over the past few years, f -CNTs have been extensively investigated and pursued as a potential nanocarrier for delivering various proteins, drugs, phytochemicals, peptides, nucleic acids, and bioactive molecules into living cells [6]. A summary of the f -CNTs in the applications of biomedical science has been reviewed in Figure 3. CNTs are particularly promising delivery system because they are nonimmunogenic [128], possess an extremely high drug loading capacity [53, 63], and are able to penetrate into cells without the use of neither external targeting moiety nor physical means of transporter system [22]. There has been significant recent progress reported in the literature on using f -CNTs as effective *in vitro* drug carriers. The following section will discuss the toxicological profile and biodistribution of the nanocarriers conjugated with different types of drugs via chemical functionalization.

4.1. In Vitro Biocompatibility Assays

4.1.1. Azithromycin (AZ). This antibiotic drug has been derived from erythromycin A with improved biological and pharmacodynamics properties over the parent compound. Darabi et al. used two different synthetic approaches (i.e., the acylation and thioamidation process on the nitrile groups) to functionalize SWCNTs with AZ via ester or thioamide bonds [34]. These cleavable bonds are able to control the release of drug from CNTs surfaces. According to the *in vitro* antibacterial assay against *micrococcus luteus*, AZ- f -SWCNTs showed a significant activity at 18 h of treatment.

4.1.2. Doxorubicin (DOX). DOX is a commonly used DNA-interacting drug for treatment of various cancers including ovarian, prostate, breast, brain, cervix, and lung cancers. The clinical application of this anthracycline antibiotic drug is limited due to its short half-life and severe toxicity to normal tissues, particularly the cardiovascular and gastrointestinal system. Therefore, many studies have been carried out in recent years to further enhance the therapeutic efficacy while reducing the toxic effects of the drug.

Li et al. employed a difunctionalization approach to covalently link both folic acid (FA) and iron (Fe) nanoparticles to the oxidized MWCNTs [53]. The resulting dual-targeted drug nanocarrier (DOX/FA-MWCNTs@Fe) possessing both magnetic (iron) and biological (folic acid) targeting capabilities was then further coated with DOX. DOX can be loaded onto the surface of the f -MWCNTs by nonspecific adsorption due to the strong π - π stacking interaction of CNTs. The release of DOX from the system (DOX/FA-MWCNTs@Fe) was a prolonged procedure and controlled by near infrared radiation. According to the *in vitro* experiments, the authors observed that the system exhibited the highest cytotoxicity among the five compounds (free DOX, DOX/MWCNTs, DOX/FA-MWCNTs, DOX/MWCNTs@Fe, and DOX/FA-MWCNTs@Fe) in the presence of the magnetic field. This can be attributed to the conjugation of both the magnetic iron nanoparticles and the FA functionality onto oxidized MWCNTs in which the system was able to target cancer cells through FA (active targeted manner) and stay at the sites of cancer cells via iron nanoparticles (passive targeted manner).

A targeted dual delivery system to brain glioma based on PEGylated oxidized MWCNTs (O-MWCNTs) modified with angiopep-2 (ANG) as targeting ligand was successfully prepared by Ren et al. [54]. Angiopep-2 is the ligand of low-density lipoprotein receptor-related protein (LRP) present on the blood-brain barrier and glioma cells. The biological safety of O-MWCNTs-PEG and O-MWCNTs-PEG-ANG nanocarriers was evaluated by BCEC and C6 cytotoxicity using MTT assay. The results demonstrated that both complexes without DOX showed good biocompatibility and low toxicity with cells viabilities remaining at above 90%. After C6 cells were incubated with DOX, DOX-O-MWCNTs-PEG, and DOX-O-MWCNTs-PEG-ANG, the cells viabilities were reduced with the increase of DOX dosage with DOX-O-MWCNTs-PEG-ANG exhibiting the highest cytotoxicity. The biodistribution of the compounds (FITC-O-MWCNTs-PEG-ANG and FITC-O-MWCNTs-PEG) in BCEC and C6 cells demonstrated that FITC-O-MWCNTs-PEG-ANG was mostly localized in lysosomes and the cellular uptakes were significantly higher compared to that of FITC-O-MWCNTs-PEG. This is mainly due to the interaction of angiopep and LRP receptor.

To explore the cancer targeting potential of the MWCNTs, Mehra et al. had developed a nanoformulation containing DOX and MWCNTs functionalized with D- α -tocopheryl polyethylene glycol 1000 succinate (vitamin E TPGS) [55]. TPGS is a type of surfactant used to enhance the aqueous solubility and at the same time to prevent receptor-mediated endocytosis (RME) and multidrug resistance (MDR) when

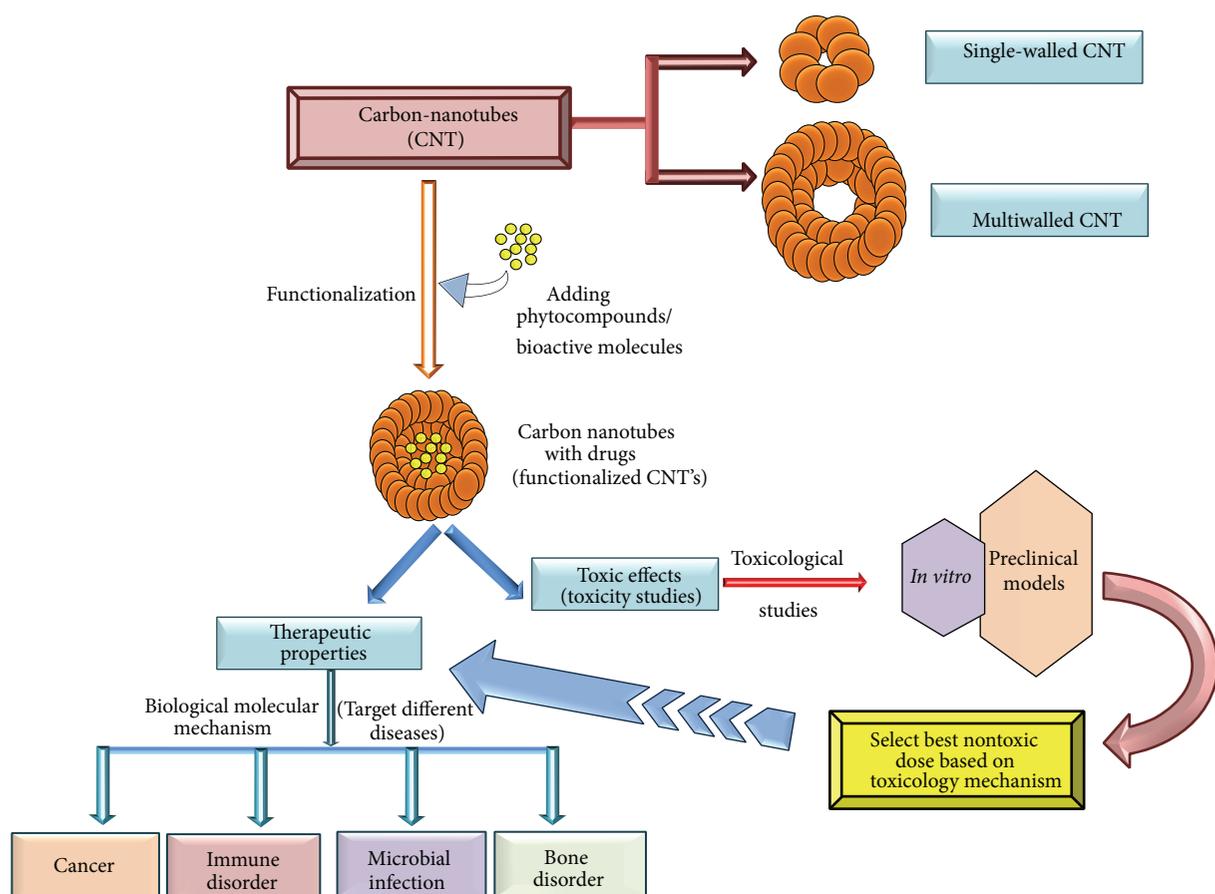


FIGURE 3: Applications of *f*-CNTs in nanomedicine.

coupled with carbon based nanomaterials. To evaluate the cancer targeting capability of the developed nanoformulation, MTT cytotoxicity assay was performed on MCF-7 cell line (human breast cancer derived from pleural perfusion). The developed MWCNTs nanoformulation was found to inhibit the growth of cancerous cells and this was due to apoptosis by intercalating DOX with DNA. The biodistribution studies showed that the DOX/TPGS-MWCNTs system was mostly taken up by the cancerous cells through receptor-mediated endocytosis as well as nanoneedle specific mechanism.

Another group of researchers, Karchemski et al., presented a new platform for drug delivery that is based on DOX-loaded liposomes covalently attached to MWCNTs [56]. The advantage of this novel approach is the high amount of drugs that can be transported by the CNTs via covalently attached liposomes and the ability to be administered effectively into cells. Thus, potential adverse systemic effects of CNTs when administered at high doses can be prevented. The *in vitro* cell viability test was carried out on HEK 293 cells treated with plain liposomes (control), *f*-MWCNTs, and drug-loaded liposomes attached to MWCNTs (CLC, CNT-liposomes conjugate). The toxicity of *f*-MWCNTs and liposomes was found to be dose-dependent and the results showed that the CLC system

demonstrated a ~60% cell viability measured at concentration 50 $\mu\text{g}/\text{mL}$. The cell uptake of *f*-MWCNTs, liposomes, and the CLC system was fluorescently monitored by using 3 labeling methods: labelling the *f*-MWCNTs by covalently linking N-(7-nitrobenz-2-oxa-1,3-diazol-4-yl)-1,2-dihexadecanoyl-*sn*-glycero-3-phosphoethanolamine (NBD-PE) via amide bond, labelling the liposome's membrane by NBD-PE, and labelling the liposome's lumen by calcein. The uptake of the CLC system into HEK 293 cells was observed as a strong fluorescent signal indicating high calcein which is due to the release from liposome. The result has been further confirmed by *in vitro* experiments in human fibroblast cells in which liposomes alone were not taken up by cells but *f*-MWCNTs alone showed good uptake in the cell lines.

In view of the advantage of MWCNTs and iron oxide magnetic nanoparticles, Lu et al. synthesized a dual targeted delivery system using folate-attached magnetic MWCNTs for the delivery of DOX [59]. They used poly(acrylic acid) to functionalize MWCNTs via free radical polymerization and later conjugated with magnetic nanoparticles and targeting ligand FA. By conjugating magnetic nanoparticles to CNTs, it will, hence, provide an active targeting mechanism for drug delivery to tumor cells under the application of an external magnetic field. If endowed with FA molecules, CNTs can also enter cells via passive targeting mechanism. To compare the

in vitro cytotoxicity and cellular uptake of the synthesized delivery system (DOX-FA-MN-MWCNTs) with free DOX, human glioblastoma cells (U87) were used in the cell culture experiments. The *in vitro* cytotoxicity studies showed that the cells viability of U87 cancer cells had reduced significantly by incubation with DOX-FA-MN-MWCNTs compared to free DOX. By comparing the cellular uptakes of both free DOX and DOX-FA-MN-MWCNTs, it was observed that the free DOX was confined within the nucleus of shrunken cells where DOX is chelated with DNA, whereas the delivery system was seen to localize in the cytoplasm of shrunken cells. Based on these studies, the authors claimed that the drug loaded delivery system could be transported across cell membrane through endocytosis mechanism and accumulated in the cytoplasm after internalization.

Heister et al. studied the oxidized SWCNTs with DOX via PEG functionalization [91]. DOX is known for its inherent fluorescence which facilitates cellular imaging in cells. They demonstrated that the CNT-mediated drug delivery system is pH-dependent with a higher drug release of 44% at pH 5.5 and a drug release of only 7% at pH 7.4 after 72 h. Intracellular distribution of the system was studied using HeLa cells in which the cells were treated for 4 h and subsequently, the drug uptake was monitored by confocal microscopy. The results indicated that DOX was released from the CNTs into the cytoplasm and localized within the endosomes via endocytotic and energy-dependent uptake mechanism. When targeting agent folic acid was attached to the PEG chains of the drug-bound system, the selectivity and therapeutic efficacy of the system were greatly enhanced. This could be due to enhanced endocytotic uptake via RME mechanism.

4.1.3. Paclitaxel (PTX). PTX is an antimetabolic agent used for the treatment of various solid tumours such as metastatic breast cancer, nonsmall cell lung cancer, and drug-resistant ovarian cancer. The clinical administration of PTX is greatly limited by inefficient distribution, lack of selectivity and poor aqueous solubility.

To improve its application in clinical therapy, Tian et al. designed an efficient targeting delivery system comprising MWCNTs, FA (targeting ligand), and QDs (fluorescence labeling probes) [49]. FA and QDs were covalently conjugated to the surface of PEI-modified MWCNTs using 1-(3-(dimethylamino)propyl)-3-ethylcarbodiimide hydrochloride crosslinker while PTX was further loaded onto CNTs by noncovalent π - π stacking interaction. *In vitro* cytotoxicity studies of *f*-MWCNTs-PTX-FA using MTT assay were conducted on HeLa cells for 48 h. According to the results, the studied complex showed a significant enhancement in the cytotoxic capability compared to that of the PTX-MWCNTs-PEI and PTX alone. Confocal fluorescence microscopy was used to examine the cellular targeting ability of the supramolecular complex on HeLa cells and HUVEC cells. HeLa cells are known to have a high level of folate receptor overexpression whereas HUVEC cells exhibited low expressing levels of folate receptor. The authors observed that the HeLa cells treated with MWCNTs-PEI-FA-QDs had much brighter QDs fluorescence signals compared to those

observed in HUVEC cells incubated with the same amount of MWCNTs-PEI-FA-QDs. These results indicated that the prepared MWCNTs-PEI-FA conjugates showed active targeting delivery efficiency.

Berlin and coworkers formulated PEG-hydrophilic carbon clusters (HCCs) system based on the noncovalent sequestration of the unmodified drug, paclitaxel (PTX, anti-cancer drug) [51]. These PEG-HCCs are a derivative from oxidized SWCNTs and were prepared by coupling PEG molecules to the carboxylic acids on the HCCs. In order to evaluate the *in vitro* efficacy, MTT assay was performed on cancer cell lines (head and neck cancer cells as well as breast cancer cells) treated with PTX/PEG-HCCs, PEG-HCCs, and free PTX. Based on the results, the PTX/PEG-HCCs formulation proved to be an effective drug delivery vehicle compared to PEG-HCCs and is stable for at least 20 weeks.

PTX is a drug with bulky structure and, hence, it has poor absorption on CNTs when conjugated to CNT-dispersing polymer for drug delivery. As such, Shao et al. had constructed a novel approach for targeted delivery of the drug using SWCNTs-lipid-drug methodology in which a long chain lipid molecule (nontoxic docosanol) is covalently linked to the drug molecule [129]. The lipid-drug can then be attached onto the surface of CNTs through binding of the lipid "tail" in the drug molecule to CNTs by hydrophobic interactions. For targeting capability, FA was also being loaded onto CNTs. By conjugating FA to SWCNTs-lipid-PTX, cell penetration capacity of the system in breast cancer cells had increased and exhibited improved drug efficacy *in vitro* compared to that of the free drug Taxol and nontargeted system at 48 h of treatment.

4.1.4. Gemcitabine (GEM). GEM is a nucleoside analogue and a S-phase specific cytotoxic agent for chemotherapy treatments like nonsmall cell lung cancer, haematologic malignancies, pancreatic cancer, head and neck squamous cell cancer, and tumours of the breast, ovary, germ cell, cervix, bladder, and biliary tract.

To improve the efficiency for the treatment of cancer lymph node metastasis, Yang et al. developed magnetic functionalized MWCNTs as drug vehicle for delivering GEM into the regional lymph nodes under the guidance of magnetic field [60]. To investigate the effects of CNTs on cells viability, *in vitro* cytotoxicity of only MWCNTs and GEM-loaded MWCNTs was performed on human pancreatic cancer cell lines (SW1990 and BxPC-3) using MTT assay. After incubation of 48 h, the results showed that MWCNTs exhibited minimal cytotoxicity on the cancerous cells at high concentration of 25 μ g/mL, indicating nontoxic nature of the CNTs on the cancerous cells. As for the GEM-loaded MWCNTs, cells viability of the compound showed a dose-dependent decrease manner. The authors also observed that the MWCNTs were rapidly internalized by BxPC-3 cells and located in the perinuclear region.

4.1.5. Daunorubicin (DAU). DAU is a commonly used anthracycline antibiotics for the treatment of leukemia (acute

myeloid leukemia and acute lymphocytic leukemia). However, its therapeutic efficacy is limited by cumulative myelosuppression and cardiotoxicity which leads to unwanted side effects.

In order to maximize the efficacy of DAU and at the same time minimize their negative effects, sgc8c aptamer (the use of this aptamer is to target leukemia biomarker protein tyrosine kinase-7) had been used to functionalize onto SWCNTs and the resulting water soluble aptamer-SWCNTs complex was further loaded with DAU [64]. These aptamers are single-stranded DNA or RNA nanomaterials with sizes ranging from 20 to 80 nucleotides [130]. They could selectively bind to small molecules, proteins, and whole cells. Cytotoxic studies (MTT assay) were conducted on Molt-4 (target) cell line and B lymphocyte human myeloma (U266, nontarget) cell line and the cells were incubated with DAU-aptamer-SWCNTs and DAU alone for 4 h. The cytotoxic analysis showed that the DAU-aptamer-SWCNTs were internalized to Molt-4 cells, but not to U266 cells and the conjugate was also less cytotoxic in U266 cells in comparison with DAU component.

4.1.6. Cisplatin (CIS). CIS (*cis*-diamminedichloroplatinum (II)) is an anticancer drug widely used to treat different types of cancer such as testicular, gastrointestinal, bladder, and ovarian cancers. However, this drug has acute and cumulative renal toxicity [131]. Thus, new platinum-based drugs are still being produced in an attempt to surpass the side effects and inherent toxicities of CIS, but only a small number of these drugs have demonstrated potential interests in clinical trials. In order to mask the toxicity of platinum-based drug, one can employ drug carriers as delivery systems.

In view of this, a group of researchers used hydrothermally oxidized SWCNTs as delivery vehicle for the loading of platinum (Pt)-based drug prepared differently using water or dimethylformamide (DMF) as solvents [106]. They observed that the drug is rapidly delivered into the cells for system prepared using DMF as solvent, especially for the first 10 h of treatment. However, when it comes to the proliferation assays performed on human melanoma (CRL 1872) cancer cells *in vitro*, the effect of solvents was obviously significant. The studies showed that the sample prepared by water solution displayed enhanced cytotoxicity profile than the sample prepared by DMF, especially at the lowest concentration of the drug. Their results indicated that the drug delivery system prepared by water solution leads to better results for small concentrations in comparison with DMF.

Another group employed a different methodology by using SWCNTs functionalized with drug CIS and epidermal growth factor (EGF) to design an effective drug delivery system that specifically targets squamous cancer cells [132]. The researchers reported that the SWCNTs-CIS-EGF demonstrates superior efficacy to SWCNTs-CIS by showing selectivity to the overexpress epidermal growth factor receptor (EGFR) on head and neck squamous cell carcinoma.

5. Conclusions

The developing potential of *f*-CNTs in nanomedicine has become an increasingly mature topic. As a result, many biocompatible *f*-CNT conjugates and related systems have been synthesized and developed for in-depth investigations on their potential biomedical applications. This is because chemically modified *f*-CNTs are not immunogenic and show remarkable carrier features with a strong tendency to cross cell membranes. While many of the *in vitro* biodistribution and cytotoxicity results of the CNTs targeting drug delivery system are promising, additional *in vivo* studies using a variety of animal models over a longer time frame are required and need to be carefully studied prior to clinical trials. Even though there are many contradictory reports associated with the toxicity of *f*-CNTs being utilized as a potential drug vehicle in drug design and delivery, findings described herein strongly suggest that the *f*-CNT bioconjugates hold great potential in the field of nanomedicine.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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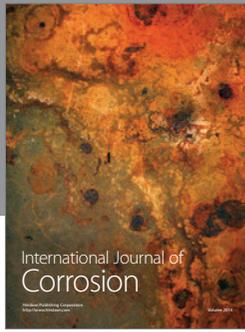
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